

Definition 0.12. $A \subseteq {}^\omega\omega$ is $(\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$ iff there exist B and $\langle A_\alpha | \alpha < \omega^2 \rangle$ which witness $A' \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)^*$ and there exists $D \in \omega \cdot m - \Pi_1^1$ (for some $m \in \omega$) such that

$$x \in A \leftrightarrow (x \in A') \text{ or } (\forall n \neg B(x, n) \ \& \ x \in D).$$

In this case, we denote A by $B^*(\langle A_\alpha | \alpha < \omega^2 \rangle, D)$ and we say that B , $\langle A_\alpha | \alpha < \omega^2 \rangle$, and D witness that $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$. We say B , $\langle A_\alpha | \alpha < \omega^2 \rangle$, and D strongly witness $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$ if B and $\langle A_\alpha | \alpha < \omega^2 \rangle$ strongly witness $A' \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)^*$.

We say that B , $\langle A_\alpha | \alpha < \omega^2 \rangle$, and $m \in \omega$ witness $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$ whenever B , $\langle A_\alpha | \alpha < \omega^2 \rangle$, and $A_{\omega \cdot m}^*$ witness $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$. If in addition $B = (R_1, R_2, R_3, \dots, R_{\gamma+\beta})$, we say that $R_1, R_2, R_3, \dots, R_{\gamma+\beta}$, $\langle A_\alpha | \alpha < \omega^2 \rangle$, and m witness $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$. Next we show that whenever we try to prove the determinacy of some $(\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$, we can assume wlog that each D_α (in the above definition) is A_α .

Lemma 0.13. If B , $\langle A'_\alpha | \alpha < \omega^2 \rangle$, and $D \in \omega \cdot (m + 1) - \Pi_1^1$ witness that $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$, then there exists $\langle A_\alpha | \alpha < \omega^2 \rangle$ such that B , $\langle A_\alpha | \alpha < \omega^2 \rangle$, and m witness that $A \in (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$.

Proof: Let $\langle D_\alpha | \alpha \leq \omega \cdot (m + 1) \rangle$ witness that $D \in (m + 1) - \Pi_1^1$. Let $A_\alpha = \{x \in A'_\alpha | \exists n B(x, n)\} \cup \{x \in D_\alpha | \forall n \neg B(x, n)\}$. Since $B \in \Delta_1^1$, each $A_\alpha \in \Pi_1^1$. ■

$A \subseteq {}^\omega\omega$ is defined to be in $\Delta(\omega^2 - \Pi_1^1)$ iff both A and its complement ${}^\omega\omega \setminus A$

are in $\omega^2 - \Pi_1^1$. $\Delta(\omega^2 - \Pi_1^1)$ properly contains $\bigcup_{\beta < \omega^2} (\beta - \Pi_1^1)$. Furthermore, it is not hard to show that for $\gamma \in \omega$ and $\beta \in \mathbf{N}$,

$$\bigcup_{\alpha < \omega^2} (\alpha - \Pi_1^1) \subseteq (\gamma * \Pi_1^0, \beta * \Sigma_1^0)^* \subset (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^* \subset \Delta(\omega^2 - \Pi_1^1)$$

and

$$\begin{aligned} (\gamma * \Pi_1^0, \beta * \Sigma_1^0)^* &\subset (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^* \subset (\hat{\gamma} * \Pi_1^0, \hat{\beta} * \Sigma_1^0)^* \subset (\hat{\gamma} * \Pi_1^0, \hat{\beta} * \Sigma_1^0)_+^* \\ &\text{iff either } \gamma < \hat{\gamma} \text{ or } \gamma = \hat{\gamma} \text{ and } \beta < \hat{\beta}. \end{aligned}$$

Also, $(\Gamma_1, \Gamma_2, \Gamma_3, \dots, \Gamma_k)^* = (\gamma * \Pi_1^0, \beta * \Sigma_1^0)^*$ and $(\Gamma_1, \Gamma_2, \Gamma_3, \dots, \Gamma_k)_+^* = (\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$ whenever there exist $i_1 < i_2 < \dots < i_\gamma$ such that $k = i_\gamma + \beta$, $\Gamma_i = \Pi_1^0$ for $i = i_1, i_2, \dots, i_\gamma$, and otherwise $\Gamma_i = \Sigma_1^0$. In particular, $(\Sigma_1^0, \Pi_1^0)^* = (\Pi_1^0)^*$.

§0.3. Some Terminology for Games. In this paper, we show that for $\beta \in \omega$ and $\gamma \in \mathbf{N}$,

(i) the determinacy of $(\gamma * \Pi_1^0, \beta * \Sigma_1^0)^*$ follows from

$$L(\beta \#_{\gamma+1}^1(0))[\#_\gamma^1] \models \text{“}r \#_\gamma^1 \text{ exists for every real } r\text{,” and}$$

(ii) the determinacy of $(\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$ follows from the existence of $(\beta + 1) \#_{\gamma+1}^1(0)$.

In the proof of (i), we associate an auxiliary game $G_{2\beta}^\gamma$ with each B and $\langle A_\alpha \mid \alpha < \omega^2 \rangle$ which witness a $(\gamma * \Pi_1^0, \beta * \Sigma_1^0)^*$ set. Similarly, in the proof of (ii), we associate an auxiliary game $G_{2\beta+1}^\gamma$ with each B , $\langle A_\alpha \mid \alpha < \omega^2 \rangle$, and $m \in \omega$ which witness a $(\gamma * \Pi_1^0, \beta * \Sigma_1^0)_+^*$ set. The moves of $G_{2\beta+1}^\gamma$ are the same as the moves of $G_{2\beta}^\gamma$ except for the play of some ordinal auxiliary moves.

Whenever either $\hat{\gamma} < \gamma$ or $\hat{\gamma} = \gamma$ and $\hat{\beta} \leq \beta$, both the moves of $G_{2\hat{\beta}}^{\hat{\gamma}}$ and of $G_{2\hat{\beta}+1}^{\hat{\gamma}}$ are included in the game $G_{2\beta+2}^{\gamma}$.

We prove (i) and (ii) for several particular cases and then we refer to these proofs when showing (i) and (ii) hold in general. Since the auxiliary games G_{β}^{γ} are similar, we introduce in this section some terminology to avoid repetition. However, we first indicate that each G_{β}^{γ} has a w.s. with nice absoluteness properties.

For an arbitrary set X , define a topology on ${}^{\omega}X$ by letting B be a basic open set of ${}^{\omega}X$ iff there exist $x_0, x_1, x_2, \dots, x_{n-1} \in X$ such that

$$B = \{f \in {}^{\omega}X \mid \forall i < n \ f(i) = x_i\}.$$

If A is an open set in ${}^{\omega}X$, then G_A is an *open game*.

By induction, we define the ordinals of each position of an open game: Suppose G is an open game. Then a position p in G has *ordinal* 0 if any play which is consistent with p is a win for I. If $p = (y(0), y(1), y(2), \dots, y(2i))$ is a legal position in G and has odd length, then p has *ordinal* α iff for any move $y(2i+1)$, $(y(0), y(1), y(2), \dots, y(2i+1))$ has ordinal less than or equal to α . If $p = (y(0), y(1), y(2), \dots, y(2i-1))$ is a legal position in G and has even length, then p has ordinal α iff a move $y(2i)$ exists such that

$$(y(0), y(1), y(2), \dots, y(2i)) \text{ has ordinal less than } \alpha.$$

We denote the set of all positions with ordinal α by $\mathbf{P}_{\alpha}(\mathbf{G})$, or by \mathbf{P}_{α} if G is clear from the context. We let $\mathbf{P} = \bigcup_{\alpha \in \text{ON}} \mathbf{P}_{\alpha}$.

Each G_β^γ is an open game. Furthermore, open games are determined [GS] and have winning strategies with nice absoluteness properties. In the following lemma, we define such a w.s. for I when $\langle \rangle \in \mathbf{P}$ and for II when $\langle \rangle \notin \mathbf{P}$.

Lemma 0.14. [Folklore]. Let G be an open game (on an arbitrary set) and let E be the set of all legal positions p for the game G such that there is a play extending p that is won by II. Let \prec be a wellordering of E . Then there is a w.s. s definable from \prec in every inner model M of ZF such that $\prec \in M$ and $E \subseteq M$.

Proof: Let's refer to the moves of G as $y(i)$ so that the play of G is:

$$G \quad \begin{array}{c} \text{I} \\ \text{II} \end{array} \quad \begin{array}{cccc} y(0) & y(2) & y(4) & \dots \\ y(1) & y(3) & y(5) & \dots \end{array}$$

Since G is open, the set P_α of positions with ordinal α is defined for all $\alpha \in \text{ON}$, as is P . We shall see that s (defined below) will be a w.s. for I iff $\langle \rangle \in P$.

Let's first assume $\langle \rangle \in P$ and describe the w.s. s (for I). Since $\langle \rangle \in P$, a least ordinal $\alpha(0)$ exists such that for some move $y(0)$, we have $(y(0)) \in P_{\alpha(0)}$; we let $s(\langle \rangle)$ be the move $y(0)$ such that $(y(0))$ is the \prec -least position in $P_{\alpha(0)}$. Now assume II plays $y(1)$. Since $(y(0), y(1)) \in \bigcup_{\alpha \leq \alpha(0)} P_\alpha$, a least ordinal $\alpha(2) < \alpha(0)$ exists such that $(y(0), y(1), y(2)) \in P_{\alpha(2)}$ for some $y(2)$; we let $s(y(1))$ be the move $y(2)$ such that $(y(0); y(1); y(2))$ is the \prec -least position in $P_{\alpha(2)}$. In general, suppose $(y(0), y(1), y(2), \dots, y(2i-1)) \in \bigcup_{\alpha \leq \alpha(2i-2)} P_\alpha$. Then a least

ordinal $\alpha(2i) < \alpha(2i - 2)$ exists such that $(y(0), y(1), y(2), \dots, y(2i)) \in P_{\alpha(2i)}$ for some $y(2i)$; we let $s(y(1); y(3); y(5); \dots; y(2i-1))$ be the move $y(2i)$ such that $(y(0); y(1); y(2); \dots; y(2i))$ is the \prec -least position in $P_{\alpha(2i)}$. Since a strictly decreasing sequence $\langle \alpha(2i) \mid i < \omega \rangle$ of ordinals can't exist, a position $(y(0), y(1), y(2), \dots, y(2i))$ must be reached at which no legal move $y(2i+1)$ exists for II to play, and therefore II loses G.

Now assume $\langle \rangle \notin P$ and let's describe the w.s. s for II. If $\alpha < \beta$, then $P_\alpha \subseteq P_\beta$. Furthermore, $P = P_\alpha$ for some ordinal α —in fact, for the least α such that $P_\alpha = P_{\alpha+1}$. Since $\langle \rangle \notin P_{\alpha+1}$, for any move $y(0)$, $(y(0)) \notin P_\alpha$. Since $(y(0)) \notin P_\alpha = P_{\alpha+1}$, a move $y(1)$ exists such that $(y(0), y(1)) \notin P_\alpha$; let $(y(0), y(1))$ be the \prec -least such position and set $s(y(0)) = y(1)$. In general, suppose $(y(0), y(1), y(2), \dots, y(2i)) \notin P_{\alpha+1}$; then there is a move $y(2i+1)$ such that $(y(0), y(1), y(2), \dots, y(2i+1))$ is the \prec -least position not in P_α and set $s(y(0), y(1), y(2), \dots, y(2i)) = y(2i+1)$. If y were a win for I, then some position $(y(0), y(1), y(2), \dots, y(i))$ would be in P_0 ; therefore, since $(y(0), y(1), y(2), \dots, y(i)) \notin P$ for all i , s is a w.s. for II.

In both cases, s depends only on \prec and P . Therefore, s is definable from \prec in every inner model M of ZF such that $\prec \in M$ and $E \subseteq M$. ■

As mentioned above, the auxiliary games G_β^γ (to be later defined) are open. Therefore, for each β and γ , Lemma 0.14 will provide us with a w.s. s_β^γ for G_β^γ , and we will refer to s_β^γ as the *canonical w.s. for G_β^γ* .

The auxiliary games G_β^γ include ordinal auxiliary moves ξ_i for $i \in \omega$. ξ_i is played by I if i is even, is played by II if i is odd, and is played before ξ_j if $i < j$. There are certain restrictions placed on each ξ_i and we think of each ξ_i as some particular ξ_j^α . Fix $m \in \omega$. We now define for $\alpha < \omega \cdot m$, $\pi_\alpha: \omega \rightarrow \omega$ with certain properties and set $\xi_j^\alpha = \xi_{\pi_\alpha(j)}$.

Fix $m \in \omega$ and let $\pi: \omega \rightarrow \omega \cdot m$ be recursive such that $\pi(2n)$ is even and $\pi(2n + 1)$ is odd for $n \in \omega$, $\pi^{-1}(\alpha)$ is infinite for each $\alpha < \omega \cdot m$ and if $i < j < \omega$, then for each $n \in \omega$, the least element of $\pi^{-1}(\omega \cdot n + i)$ is less than the least element of $\pi^{-1}(\omega \cdot n + j)$. Let $\pi_\alpha: \omega \rightarrow \pi^{-1}(\alpha)$ be the bijection defined by “ $\pi_\alpha(i)$ is the least element of $\pi^{-1}(\alpha) \setminus \{\pi_\alpha(j) \mid j < i\}$.” Then

$\{\pi_\alpha(i) \mid \alpha < \omega \cdot m, \alpha \text{ is even}, i \in \omega\}$ is the set of even integers,

$\{\pi_\alpha(i) \mid \alpha < \omega \cdot m, \alpha \text{ is odd}, i \in \omega\}$ is the set of odd integers,

$\pi_{\omega \cdot n + k}(0) < \pi_{\omega \cdot n + k + 1}(0)$ for $n < m$ and $k \in \omega$,

$\pi_\alpha(i) \neq \pi_\beta(j)$ if $\alpha \neq \beta$ or $i \neq j$, and

$\pi_\alpha(i) < \pi_\alpha(j)$ if $i < j$.

Finally, let ξ_j^α abbreviate $\xi_{\pi_\alpha(j)}$.

Notice that ξ_j^α is played before ξ_{j+1}^α and $\xi_0^{\omega \cdot n + k}$ is played before $\xi_0^{\omega \cdot n + k + 1}$ for $n < m$ and $k \in \omega$. Furthermore, ξ_j^α is played by I if α is even and by II if α is odd.

The ordinal auxiliary moves ξ_i will provide the players with a way to show that a particular real $x \in {}^\omega\omega$ is in a given Π_1^1 set iff a certain ordering,

determined by x , is a wellordering. The next lemma provides us with such orderings.

Lemma 0.15. Kleene [Kl]. Let β be a recursive ordinal and $\langle A_\alpha | \alpha < \beta \rangle$ witness $A \in \beta - \Pi_1^1$ (so that there exists a recursive wellordering of a subset E of ω with order type β such that

$$\{(k, x) \in E \times {}^\omega \omega | x \in A_{|k|}\} \in \Pi_1^1).$$

Then there exists a recursive function F with domain

$E \times \{\bar{x}(i) | x \in {}^\omega \omega \text{ and } i \in \omega\}$ such that

- 1.) $F(n, \bar{x}(i))$ is a linear ordering of $0, 1, 2, 3, \dots, i$ with largest element 0,
- 2.) $F(n, \bar{x}(i))$ is a subordering of $F(n, \bar{x}(j))$ if $i \leq j$, and
- 3.) $x \in A_{|n|}$ iff $\bigcup_{i \in \omega} F(n, \bar{x}(i))$ is a wellordering.

In the above situation, let $F_{|n|}$ be the function with domain

$\{\bar{x}(i) | i \in \omega \text{ and } x \in {}^\omega \omega\}$ such that $F_{|n|}(j) = F(n, j)$ so that

$$x \in A_\alpha \text{ iff } \bigcup_{i \in \omega} F_\alpha(\bar{x}(i)) \text{ is a wellordering.} \quad \blacksquare$$

The moves ξ_j^α are used to determine if x is in some fixed $A_\alpha \in \Pi_1^1$. To make use of the ξ_j^α , we place certain restrictions on the ξ_j^α . The following terminology is used to describe some of these restrictions.

Definition 0.16. Let G^* be a game with integer moves $x(j)$ for $j \in \omega$ and with ordinal moves $\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1}$ such that

- i.) $x(j)$ is played before $x(k)$ if $j < k$

and

ii.) $x(j)$ and ξ_j are each played before ξ_k if $j < k < i$.

Let p be a position in G^* which includes the ordinal moves $\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1}$.

Let $\langle A_\alpha \mid \alpha \leq \omega \cdot m \rangle$ witness that some set is $\omega \cdot m - \Pi_1^1$, and let F be a recursive function as in Lemma 0.15 for the case $\beta = \omega \cdot m$ so that

$$x \in A_\alpha \text{ iff } \bigcup_{j \in \omega} F_\alpha(\bar{x}(j)) \text{ is a wellordering.}$$

Recall π and π_α which are defined just before Lemma 0.15. Whenever $\pi_\alpha(j) < i$, let $\xi_j^\alpha = \xi_{\pi_\alpha(j)}$. Notice that whenever $\pi_\alpha(j) < i$, $j \leq \pi_\alpha(j) < i$ and $\pi_\alpha(0) < \pi_\alpha(1) < \pi_\alpha(2) < \dots < \pi_\alpha(j)$ so that p includes the moves $x(0), x(1), x(2), \dots, x(j-1)$ and $\xi_0^\alpha, \xi_1^\alpha, \xi_2^\alpha, \dots, \xi_j^\alpha$. We say that $(\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1})$ is *properly ordered with respect to*

$$(x(0), x(1), x(2), \dots, x(i-1)) \text{ (or just } \bar{x}(i)) \text{ and } \langle A_\alpha \mid \alpha < \omega \cdot m \rangle$$

in G^* using $\langle \kappa_{n+1} \mid n < m \rangle$ iff whenever $\pi_\alpha(j) < i$, we have

i.) $\xi_j^\alpha \in \kappa_{n+1}$ if $\alpha = \omega \cdot n + k$ for some $k \in \omega$ (and $n < m$), and

ii.) the map from $F_\alpha(\bar{x}(j+1))$ into the ordinals defined by $k \mapsto \xi_k^\alpha$ is order preserving.

We say that $(\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1})$ is *properly ordered with respect to* $\bar{x}(i)$ using $\langle \kappa_{n+1} \mid n < m \rangle$ whenever G^* and $\langle A_\alpha \mid \alpha < \omega \cdot m \rangle$ are clear from the context and $(\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1})$ is properly ordered with respect to $\bar{x}(i)$ and $\langle A_\alpha \mid \alpha < \omega \cdot m \rangle$ in G^* using $\langle \kappa_{i+1} \mid i < m \rangle$. In this paper, G^* is always some G_β^γ and $\langle A_\alpha \mid \alpha < \omega \cdot m \rangle$ is clear from the context. The auxiliary games G_β^γ include integer moves $x(i) \in \omega$ and ordinal auxiliary moves ξ_j such that we

require the players to only play so that $(\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1})$ is properly ordered with respect to $\bar{x}(i)$ using some particular sequence $\langle \kappa_{i+1} \mid i < m \rangle$. Theorem 0.8 [Du1] provides us with a concrete example of ordinal auxiliary moves being required to be properly ordered: In Theorem 0.8 [Du1], the players are required to play ξ_j such that $(\xi_0, \xi_1, \xi_2, \dots, \xi_{i-1})$ is properly ordered with respect to $\bar{x}(i)$ using $\langle \omega_{i+1} \mid i < m \rangle$. In such games, $\bar{x}(i)$ is usually clear from the context so that we just say that the auxiliary ordinal moves ξ_j must be properly ordered using $\langle \kappa_{i+1} \mid i < m \rangle$.

Each game G_β^γ is an auxiliary game for some G_A , and player I plays in G_β^γ a set T of positions in G_A such that if $\bar{x}(2i+1) \in T$, then $\bar{x}(2i+2) \in T$ for any $x(2i+1) \in \omega$. We say that T is an *I-imposed subgame* of the game G_A if T is a set of positions of G_A such that $p \in T$ whenever p is a position of even length, say of length $2i+2$, which extends some position $p' \in T$ of length $2i+1$. Thus, an I-imposed subgame of G_A is a set of positions which restricts I's moves but does not restrict II's moves.

Let G_A be a game with integer moves $x(i)$. Let $\vec{U} = \langle U_i \mid i < n \rangle$ be a sequence of subsets of $\omega^{<\omega}$, and let $\vec{u} = \langle u_i \mid i < m \rangle$ be a sequence of elements from $\omega^{<\omega}$. (Often \vec{U} is a sequence of I-imposed subgames of G_A , and \vec{u} is a sequence of legal positions of G_A with odd length.) Define $G_A(\vec{U}; \vec{u})$ to be the game whose moves are subject to the same conditions as those of G_A except that the players may only play $x(i-1)$ such that each $\bar{x}(i) \in \bigcap_{j < n} U_j$ and

each $\bar{x}(i)$ is compatible with each u_j for $j < m$.

Each G_β^γ has designated integer moves $x(i)$ (as well as some other moves). In this paper, we also define $G_\beta^\gamma(\vec{U}; \vec{u})$. $G_\beta^\gamma(\vec{U}; \vec{u})$ has exactly the same moves as G_β^γ . Furthermore, the moves of $G_\beta^\gamma(\vec{U}; \vec{u})$ and G_β^γ are subject to many of the same conditions. However, in $G_\beta^\gamma(\vec{U}; \vec{u})$, the players may only play $x(i-1)$ such that each $\bar{x}(i) \in \bigcap_{j < n} U_j$ and each $\bar{x}(i)$ is compatible with each u_j for $j < m$. Also, if $(\lambda_0, \lambda_1, \lambda_2, \lambda_3, \dots, \lambda_{i-1})$ is a sequence of ordinal moves in some G_k^n which must be properly ordered with respect to $\bar{x}(i)$ using $\langle \omega_{i+1}^{L(\beta \#_{\gamma+1}^1(\vec{T}))} | i \leq m \rangle$, then in $G_k^n(\vec{U}; \vec{u})$, $(\lambda_0, \lambda_1, \lambda_2, \dots, \lambda_{i-1})$ must be properly ordered with respect to $\bar{x}(i)$ using $\langle \omega_{i+1}^{L(\beta \#_{\gamma+1}^1(\vec{U}, \vec{T}))} | i \leq m \rangle$. We explicitly state the conditions on the moves of G_β^γ later.

If G^* is either some G_β^γ or some G_A with $A \subseteq \omega^{<\omega}$, we sometimes write $G^*(U_0, U_1, U_2, \dots, U_{n-1}; u_0, u_1, u_2, \dots, u_{m-1})$ for $G^*(\vec{U}; \vec{u})$. Also, if p is a legal position of a game G , then G_p is the game in which both players are required to play so that all positions are consistent with p , and if neither player loses by failing to meet this requirement, then the winning conditions for G_p are exactly the same as for G . Therefore, if $A \subseteq \omega^{<\omega}$ and $p \in \omega^{<\omega}$, then $(G_A)_p$ and $G_A(p)$ are the same game.

The games G_β^γ include the play of several Borel auxiliary moves T and $\langle \hat{t}, t \rangle$, each of which must satisfy certain conditions. These conditions are enumerated in the following:

Definition 0.17. Let $R \subseteq \omega$ and let G be a game with only integer moves $x(i)$ for $i \in \omega$. Let $\vec{U} = \langle U_i \mid i < \eta \rangle$ and $\vec{u} = \langle u_i \mid i < \theta \rangle$ respectively be a finite sequence of I-imposed subgames of G and a sequence of legal positions of G . Let G^* be a game with designated integer moves $x(i)$ for $i \in \omega$, Borel auxiliary moves T and $\langle \hat{t}, t \rangle$, and maybe some other moves. Assume that in both G and G^* the play of each $x(i-1)$ precedes the play of $x(i)$. (For our purposes, G^* is some $G_\beta^\gamma(\vec{U}; \vec{u})$.) T and $\langle \hat{t}, t \rangle$ are Borel auxiliary moves of G^* with respect to $G(\vec{U}; \vec{u})$ if the following conditions hold:

- (1) Player I plays T and the next move of II is $\langle \hat{t}, t \rangle$.
- (2) If $\langle x(i) \mid i < k \rangle$ and $\langle Q_i; \langle \hat{q}_i, q_i \rangle \mid i < n \rangle$ respectively are the sequences of integer moves $x(i)$ and Borel auxiliary moves which precede the play of T in G^* , then T is an I-imposed subgame of $G(\vec{U}, \langle Q_i \mid i < n \rangle; \vec{u}, \langle q_i \mid i < n \rangle, \bar{x}(k))$.
- (3) $\langle \hat{t}, t \rangle \in \{ \langle 1, - \rangle, \langle 0, q \rangle \mid q \in T, \text{ and } q \text{ has odd length} \}$.
- (4) If II plays $\hat{t} = 0$, then both players may only play integer moves $x(i-1)$ which are consistent with t i.e. $\bar{x}(i)$ and t must be compatible.

We say that R and $G(\vec{U}; \vec{u})$ determines the Borel auxiliary moves T and $\langle \hat{t}, t \rangle$ of G^* if the following hold in addition to (1) through (4):

- (5) If $\hat{t} = 0$, then $R(t)$.
- (6) If integer moves $x(0), x(1), x(2), \dots, x(i-1)$ precede the play of the move T and $R(\bar{x}(i))$, then II must play so that $\hat{t} = 0$.
- (7) If Borel auxiliary moves Q and $\langle \hat{q}, q \rangle = \langle 0, \bar{x}(2i-1) \rangle$ precede the play

of the move T and $\exists j < 2i R(\bar{x}(j))$, then II must play so that $\hat{t} = 0$.

(8) If II plays $\hat{t} = 1$, then only integer moves $x(i - 1)$ such that $\neg R(\bar{x}(i))$ may be played in G^* .

We say that R determines the Borel auxiliary moves T and $\langle \hat{t}, t \rangle$ of G^* whenever R and G determine T and $\langle \hat{t}, t \rangle$ and G is understood from the context. In the auxiliary games G_β^γ , we restrict I to play each Borel auxiliary move T so that T belongs to a certain model (which is later specified).

Any play of the auxiliary game G' of Theorem 1.5 [Du2], which includes Borel auxiliary moves T_i and $\langle \hat{t}_i, t_i \rangle = \langle 0, t_i \rangle$ for $i < n$, also includes the Borel auxiliary moves T_n and $\langle \hat{t}_n, t_n \rangle$ determined by $\{m \in \omega \mid R_B(m, n)\}$ and G_A . Furthermore, for any play of G' which includes $\langle \hat{t}_n, t_n \rangle$, $B(x, n)$ iff $\hat{t}_n = 1$. The auxiliary games G_β^γ also satisfy the above conditions and in each G_β^γ , we have a similar relation between a Π_1^0 set B and a sequence

$$\langle (T_n; \langle \hat{t}_n, t_n \rangle) \mid \forall j < n \hat{t}_j = 0 \rangle$$

of Borel auxiliary moves. Therefore, we make the following definition:

Definition 0.18. Let $B \subseteq (\omega\omega) \times \omega$ and $R \in \Sigma_1^0$ satisfy

$$B(x, n) \leftrightarrow \forall k R(\bar{x}(k), n).$$

The sequence $\langle (T_n; \langle \hat{t}_n, t_n \rangle) \mid \forall j < n \hat{t}_j = 0 \rangle$ of Borel auxiliary moves of G^* and the Π_1^0 set B are related via R if the following two conditions hold:

(1) Every play of G^* includes the moves T_i and $\langle \hat{t}_i, t_i \rangle = \langle 0, t_i \rangle$ for all $i < n$ iff G^* includes Borel auxiliary moves T_n and $\langle \hat{t}_n, t_n \rangle$.

(2) If G^* includes Borel auxiliary moves T_i , $\langle \hat{t}_i, t_i \rangle$, T_j , and $\langle \hat{t}_j, t_j \rangle$ and $i \leq j$, then T_i and $\langle \hat{t}_i, t_i \rangle$ are Borel auxiliary moves of G^* determined by $\{m \in \omega \mid R(m, i)\}$ and the move $\langle \hat{t}_j, t_j \rangle$ does not precede the move T_i .

If the sequence $\langle (T_n; \langle \hat{t}_n, t_n \rangle) \mid \forall j < n \hat{t}_j = 0 \rangle$ of Borel auxiliary moves of G^* and the Π_1^0 set B are related via R , we say G^* contains the sequence $\langle (T_n; \langle \hat{t}_n, t_n \rangle) \mid \forall j < n \hat{t}_j = 0 \rangle$ which is related to the Π_1^0 set B via R .

§0.4. The Auxiliary Games G_0^0 , G_0^1 , and G_1^1 . We briefly review the definitions of the auxiliary games \bar{G} of Theorem 0.8 [Du1] and G' and G^* of Theorems 1.5 and 1.6 [Du2]. We respectively refer to \bar{G} , G' , and G^* as G_0^0 , G_0^1 and G_1^1 here.

§0.4.1. The Auxiliary Game G_0^0 . Martin showed that if

$$A \in \bigcup_{\alpha < \omega^2} (\alpha - \Pi_1^1),$$

\vec{U} is a sequence of I-imposed subgames of G_A , \vec{u} is a sequence of legal positions for G_A , and $\#_1(\vec{U})$ exists, then the game $A(\vec{U}; \vec{u})$ is determined. In the proof of this theorem, we obtain a w.s. for $A(\vec{U}; \vec{u})$ by integrating a w.s. for the auxiliary game $G_0^0(\vec{U}; \vec{u})$, which we now define.

Definition 0.19. Let $m \in \mathbf{N}$ and let $\langle A_\alpha \mid \alpha \leq \omega \cdot m \rangle$ witness $A \in \omega \cdot m - \Pi_1^1$.

Let $\vec{U} = \langle U_i \mid i < \eta \rangle$ and $\vec{u} = \langle u_i \mid i < \theta \rangle$ respectively be a finite sequence of I-imposed subgames of G_A and a sequence of legal positions of G_A . We describe the $G_0^0(\vec{U}; \vec{u})$ game associated with $\langle A_\alpha \mid \alpha \leq \omega \cdot m \rangle$ and refer to this game as $G_0^0(\vec{U}; \vec{u})$. In $G_0^0(\vec{U}; \vec{u})$, an ordinal auxiliary move ξ_i is played with

each integer move $x(i)$:

$$G_0^0(\vec{U}; \vec{u}) \begin{array}{l} \text{I} \\ \text{II} \end{array} \begin{array}{ccccccc} x(0), \xi_0 & x(2), \xi_2 & x(4), \xi_4 & \cdots & x(2n), \xi_{2n} & \cdots \\ x(1), \xi_1 & x(3), \xi_3 & x(5), \xi_5 & \cdots & x(2n+1), \xi_{2n+1} & \cdots \end{array}$$

Each $\bar{x}(i) \in \bigcap_{i < \eta} U_i$ and each $\bar{x}(i)$ must be consistent with every u_i . The ξ_i are properly ordered with respect to $\langle A_\alpha | \alpha < \omega \cdot m \rangle$ using $\langle \omega_{i+1}^{L(\#_1(\vec{U}))} | i < m \rangle$. Player I wins G_0^0 iff a position is reached at which II cannot make a (legal) move. If \vec{U} and \vec{u} are both the empty sequence, we write G_0^0 for $G_0^0(\vec{U}; \vec{u})$.

In Theorem 1.8 [Du1], we give the proof of Martin's Theorem: If $0^\#$ exists and $\langle D_\alpha | \alpha \leq \omega \cdot m \rangle$ witness D is $\omega \cdot m - \Pi_1^1$, then G_D has a w.s. $s \in L(\#_1(0))$. In that proof, we obtain the w.s. $s \in L(0^\#)$ for G_D by integrating the w.s. s_0^0 (for the G_0^0 game associated with $\langle D_\alpha | \alpha \leq \omega \cdot m \rangle$) with respect to the ordinal auxiliary moves ξ_i . (In that proof, s_0^0 and G_0^0 are respectively referred to as \bar{s} and \bar{G} .) For fixed $(\vec{U}; \vec{u})$, the w.s. s_0^0 for the $G_0^0(\vec{U}; \vec{u})$ game associated with $\langle D_\alpha | \alpha \leq \omega \cdot m \rangle$ can similarly be integrated with respect to the ξ_i so as to obtain a w.s. $s \in L(\#_1(\vec{U}))$ for $D(\vec{U}; \vec{u})$. Moreover, we similarly have the following:

Theorem 0.20. Let m , $\langle A_\alpha | \alpha \leq \omega \cdot m \rangle$, A , \vec{U} , and \vec{u} be as in Definition 0.19. Let p be a legal position of a game G^* such that the moves of G^* following p constitute a play of $G_0^0(\vec{U}; \vec{u})$. Suppose s^* is a w.s. for G^* such that $s^*|_{\ell_p} \in L(\vec{U})$. Then $s^*|_{\ell_p}$ can be integrated so as to obtain a w.s. $s_p \in L(\#_1(\vec{U}))$ for $A(\vec{U}; \vec{u})$ such that the following hold:

- (i) s_p is a w.s. of the player for whom s^* is a w.s.,

(ii) If s^* is a w.s. for I, \hat{p} is a position consistent with s_p , and the moves in \hat{p} of player II are consistent with \vec{u} , then $\hat{p} \in \bigcap_{i < \eta} U_i$. Therefore, if s^* is a w.s. for I and x is a play consistent with s_p , then $x \in A(\vec{u})$.

(iii) Let \hat{p} be a position consistent with s_p and with \vec{U} . If the moves in \hat{p} of the player for whom s_p is not a w.s. are consistent with \vec{u} , then \hat{p} is consistent with \vec{u} .

Proof: In Theorem 1.8 [Du1], we integrate the w.s. s_0^0 for G_0^0 with respect to the ordinal auxiliary moves ξ_i . In G_p^* , similarly integrate $s^*|_{\ell_p}$ with respect to the ξ_i . ■

§0.4.2. The Game G_0^1 . Assume $L[\#_1] \models$ “every real has indiscernibles;” otherwise, G_0^1 is undefined. Let $B \in \Pi_1^0$ and $\langle A_\alpha \mid \alpha < \omega^2 \rangle$ strongly witness $A \in (\Pi_1^0)^*$, and let $R \in \Delta_1^0$ be such that $B(x, n) \leftrightarrow \forall k R(\bar{x}(k), n)$ and such that

$$\text{if } \neg R(\bar{x}(k), n) \ \& \ \forall j < k R(\bar{x}(j), n), \text{ then } k \text{ is odd.}$$

We describe the G_0^1 game associated with B and $\langle A_\alpha \mid \alpha < \omega^2 \rangle$. G_0^1 contains a sequence $\langle (T_n; \langle \hat{t}_n, t_n \rangle) \mid \forall j < n \hat{t}_j = 0 \rangle$ of Borel auxiliary moves which is related to B via R . If all $\hat{t}_i = 0$, then the play of G_0^1 is

$$\begin{array}{ccccccc} \text{I} & T_0 & x(0) & T_1 & x(2) & & \\ \text{II} & \langle 0, t_0 \rangle & x(1) & \langle 0, t_1 \rangle & x(3) & \cdots & \end{array}$$

Notice in this case that no ordinal auxiliary moves are played. If $\hat{t}_n = 1$ is played, ordinal auxiliary moves ξ_i are played with the integer moves $x(2n+i)$; in this case, the play of G_0^1 is

Borel auxiliary moves which is related to B via R , and the ξ_i 's are properly ordered with respect to $\langle A_\alpha | \alpha < \omega \cdot (n + 1) \rangle$ using $\langle \omega_{i+1}^{L(\#_1(T_n))} | i \leq n \rangle$.

DuBose [Du2] uses the game G_1^1 to show the determinacy of $(\Pi_1^0)_+$ follows from the existence of $0^{\#_2^1}$ (i.e. from $L[\#_1]$ has indiscernibles).